

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/05/2001 ABDUNE1 00000043 09943285

01 FC:201 355.00 OP

PTO-1556  
(5/87)

08/30/01  
JC952 U.S. PTO

FORM PTO-1082

08/30/01

Express Mail EL 904 935 533 US  
PATENT  
Atty. Docket No. 37261-670006  
Date: August 30, 2001

A

UTILITY PATENT APPLICATION TRANSMITTAL

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

JC952 U.S. PTO  
08/30/01  
08/30/01

Sir:

Transmitted herewith for filing is the patent application of:

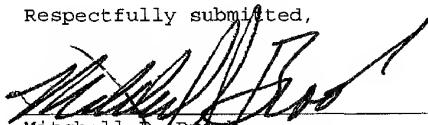
Inventor(s): Chih-Kung LEE, Yu-Hsiang HSU and Der-Chang Hsieh  
For: PIEZOELECTRIC TRANSDUCER APPARATUS HAVING INDEPENDENT GAIN AND PHASE  
CHARACTERISTICS FUNCTIONS

Enclosed are:

Fee Transmittal Form (e.g., PTO/SB/17)  
 Applicant Claims Small Entity Status  
 Specification, Claims, and Abstract (36 pages)  
 23 Sheet(s) of drawing ( formal,  informal)  
Form PTO-1595 and an assignment of the invention to:  
 A certified copy of TAIWAN Application No. 89117640 filed on August 30, 2000 from which  
priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119,  will  
follow  is enclosed.  
 An associate Power of Attorney.  
 Combined Declaration and Power of Attorney.  
 Information Disclosure Statement, Form PTO 1449, and  cited references  
 Request and Certification under 122(b)  
 Postcard  
If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below  
and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:  
 Continuation  Divisional  Continuation-in-part (CIP)  
of prior application No.: \_\_\_\_\_  
Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

Check in the amount of \$355.00 to cover the filing fee is enclosed.  
 Check in the amount of \$0.00 to cover the Assignment Recordation Fee.  
 The Commissioner is hereby authorized to charge payment of the following fees associated  
with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker &  
McKenzie.  
A duplicate copy of this sheet is enclosed.  
 Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Mitchell R. Brock  
Registration No. 32,967  
c/o BAKER & MCKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEET TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

## Complete if Known

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Chih-Kung LEE et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	37261-670006

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0410**  
Deposit Account Name **Baker & McKenzie**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card:  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<b>355.00</b>
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

Total Claims	17	-20** =	0	X	0	= 0.00	Fee from below	Fee Paid
Independent Claims	2	- 3** =	0	X	0	= 0.00		
Multiple Dependent								

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

## SUBMITTED BY

Complete if applicable

Name (Print/Type)	Mitchell P. Brook	Registration No. (Attorney/Agent)	32,967	Telephone	619 236-1441
Signature					
				Date	8/30/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT  
Docket No.: 37261-670006  
Express Mail No. EL 904 935 533 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Art Unit: To be assigned  
Chih-Kung LEE et al. )  
Serial No.: To be assigned ) Examiner: To be assigned  
Filed: Filed herewith )  
For: **TITLE** )  
)

San Diego, California 92101  
August 30, 2001

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

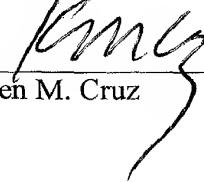
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

“Express Mail” mailing label No. EL 904 935 533 US

Date of Deposit: August 30, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$355.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (36 pages), Formal Drawings (FIGS. 1-36 on 23 pages) and return postcard are being deposited with the U.S. Postal Service “Express Mail Post Office to the Addressee” service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
Karen M. Cruz